

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Fontoura et al.

Serial No.: Not Yet Assigned

Group Art Unit: Unknown

Filing Date: Concurrently Herewith

Examiner: Unknown

For: USING INTRA-DOCUMENT INDICES TO IMPROVE XQUERY PROCESSING  
OVER XML STREAMSCommissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0441.

Respectfully submitted,

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JDW/



EFS-Web Receipt date: 11/25/2003

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

ARC920030080US1

Application Number

10723391 - GAU: 2168

Not Yet Assigned

Applicant(s)

Fontoura et al.

Filing Date

Concurrently Herewith

Group Art Unit

Unknown

\*EXAMINER  
INITIAL

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"Relational Databases for Querying XML Documents: Limitations and Opportunities", Jayavel Shanmugasundaram, Kristin Tufte, Gang He, Chun Zhang, David DeWitt, Jeffrey Naughton, Proceedings of the 25th VLDB Conference, Edinburgh, Scotland, 1999, 13 pages

"Tutorial: XML Toolkit", Makoto Onizuka, V1.02, September 5, 2002,  
http://www.cs.washington.edu/homes/suciu/XMLTK/tutorial.txt, 10 pages

"XML pull parsing patterns", Aleksander Slominski, Version \$Id:patterns.html,v 1.4, August 16, 2002,  
http://www.extreme.indiana.edu/~aslom/xmlpull/patterns.html, 8 pages

"Re: Streaming XML and SAX", Nathan Kurz, February 27, 1999,  
http://lists.xml.org/archives/xml-dev/199902/msg00952.html, 3 pages

"X marks the path", DNJ Online, Essentials -Understanding XPath, 2002,  
http://www.dnjonline.com/articles/essentials/iss25\_essentials\_xpath.asp, 5 pages

EXAMINER

/Joseph D Wong/

DATE CONSIDERED

09/17/2008

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

P09B/REV04

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